

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549



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ANNUAL AUDITED REPORT
FORM X-17A-5 / H
PART III

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Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1/1/14 AND ENDING 12/31/14
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Auriga USA LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

OFFICIAL USE ONLY
FIRM I.D. NO.

(No. and Street)

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

KPMG

(Name - if individual, state last, first, middle name)

(Address)

(City)

(State)

CHECK ONE:

- ☐ Certified Public Accountant
☐ Public Accountant
☐ Accountant not resident in United States or any of its possessions.

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SECURITIES AND EXCHANGE COMMISSION
RECEIVED
MAY 27 2015
DIVISION OF TRADING & MARKETS

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of
information contained in this form are not required to respond
unless the form displays a currently valid OMB control number.

AURIGA USA, LLC
(A Wholly Owned Subsidiary of Auriga Services, LLC)
Statement of Financial Condition
As of December 31, 2014

Assets

Cash and cash equivalents	\$ 1,517,764
Receivable from broker	1,197,009
Securities owned, at fair value (\$1,577,055 pledged as collateral)	6,055,438
Due from affiliates	339,294
Fixed assets (net of accumulated depreciation and amortization of \$502,461)	961
Other assets	85,151
Total assets	<u>\$ 9,195,617</u>

Liabilities and Member's Equity

Securities sold under an agreement to repurchase	\$ 1,577,055
Derivative contracts, at fair value	2,813
Due to affiliates	1,368,983
Compensation payable	538,392
Accounts payable and accrued expenses	275,383
Total liabilities	<u>3,762,626</u>
Member's equity	<u>5,432,991</u>
Total liabilities and member's equity	<u>\$ 9,195,617</u>

See accompanying notes to financial statements.